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Intellectual Property Causes  
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Attorney Docket No. P21086

In re application of : M. SPEIDEL et al.

Serial No. : 09/880,068

Group Art Unit: 1742

Filed : June 14, 2001

Examiner: McGuthrie Banks

For : NICKLE-BASED ALLOY FOR HIGH-TEMPERATURE TECHNOLOGY

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment under 37 C.F.R. 1.111 in the above-captioned application.

- ☐ Small Entity Status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a previously filed statement.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ A Request for Extension of Time.
- ☐ No Additional Fee.
- ☒ An Information Disclosure Statement, PTO-1449 Form and References cited.
- ☒ Copies of English Language abstracts of KUDO AND KATO.

The fee has been calculated as shown below:

Claims After Amendment	No. Claims Previously Paid For	Present Extra	Small Entity		Other Than A Small Entity	
			Rate	Fee	Rate	Fee
Total Claims: 30	*24	6	x 9=	\$	x 18=	\$108.00
Indep. Claims: 2	**3	0	x 42=	\$	x 84=	\$0.00
Multiple Dependent Claims Presented			140=	\$	+280=	\$0.00
Extension Fees for Month				\$		\$0.00
Total:				\$	Total:	\$108.00

\*If less than 20, write 20

\*\*If less than 3, write 3

- ☐ Please charge my Deposit Account No. 19-0089 in the amount of \$\_\_\_\_\_.
- ☒ A Check in the amount of \$108.00 to cover the filing fee is included.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0089.
  - ☒ Any additional filing fees required under 37 C.F.R. 1.16.
  - ☒ Any patent application processing fees under 37 C.F.R. 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136)(a)(3).

Neil F. Greenblum  
Reg No. 28,394

Reg No. 33,094

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P21086.A04

Appln. No. : 09/880,068

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Markus SPEIDEL et al.

Group Art Unit : 1742

Appln. No. : 09/880,068

Examiner : McGuthry-Banks

Filed : June 14, 2001

For : NICKEL-BASED ALLOY FOR HIGH-TEMPERATURE TECHNOLOGY

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir :

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, 1.97, and 1.98, Applicants hereby bring the following information to the attention of the Examiner in charge of the above-identified application, which includes information cited and discussed in an European Search Report issued in connection with counterpart European Application No. 1 188 845. A copy of the European Search Report is attached hereto. In particular the European Examiner cited:

JP 7-316702, accompanied by an English language abstract, as a "X" category document (i.e., a document that calls into question the novelty or inventive step when considered alone). In particular the European Examiner noted that the Abstract and Example 4 are relevant to claims 1, and 3-6 of the European application;

DE 44 11 228 A1, as a "Y" category document (i.e., a document that calls into question the inventive step when considered with another document from this category) and "D" category document (i.e., a document discussed in the present application). In particular the European Examiner noted that Example 1 as relevant to claims 1, 2, and 7 of the European application;

EP 0 322 156 A1, accompanied by family member U.S. Patent No. 4,787,945, as a "Y" category document. In particular the European Examiner noted that page 3, lines 8-44 as relevant to claims 1, 2, and 7 of the European application;

EP 0 251 295 A2, accompanied by family member U.S. Patent No. 4,784,830, as an "A" category document (i.e., a document relevant to the technological background of the invention); and GB 810,366, as an "A" category document.

Copies of the above-noted documents, except DE 44 11 228 which was previously submitted on September 14, 2001, are enclosed together with a duly completed Form PTO-1449. The Examiner is accordingly requested to consider each of these documents, and to make them of record in this application by initialing in the appropriate spaces on the Form-1449. Applicants respectfully request that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office.

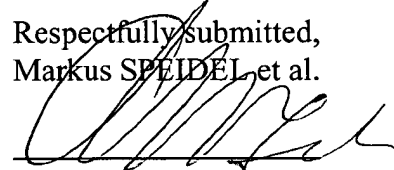
Applicants note that this disclosure statement is being filed after first action on the merits, but prior to issuance of an office action closing prosecution. Therefore, a check including the amount of \$180.00 is enclosed. However, if the fee is deficient and/or if any additional fee is required for consideration of this disclosure statement, including any fee under 37 C.F.R. 1.17(p), Applicants hereby authorize that any required fee be charged to Deposit Account No. 19-0089.

P21086.A04

Appln. No. : 09/880,068

Any comments or questions concerning this application can be directed to the undersigned  
at the telephone number given below.

Respectfully submitted,  
Markus SPEIDEL et al.



Neil F. Greenblum  
Reg. No. 28,394

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October 31, 2002  
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